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Ad Hoc Working Group on the Paris Agreement
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Bangkok, 4–9 September 2018

Item 2(b) of the agenda
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Agenda and annotations

Note by the Executive Secretary

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   (b) Development of the modalities of the global stocktake.
7. Modalities and procedures for the effective operation of the committee to facilitate implementation and promote compliance referred to in Article 15, paragraph 2, of the Paris Agreement.

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8. Further matters related to implementation of the Paris Agreement:

   (a) Preparing for the convening of the first session of the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement;

   (b) Taking stock of progress made by the subsidiary and constituted bodies in relation to their mandated work under the Paris Agreement and section III of decision 1/CP.21, in order to promote and facilitate coordination and coherence in the implementation of the work programme, and, if appropriate, take action, which may include recommendations.

9. Other matters.

10. Closure of and report on the session.

II. Annotations to the agenda

1. Opening of the session

1. The Ad Hoc Working Group on the Paris Agreement (APA), at the end of APA 1.5, held in Bonn from 30 April to 10 May 2018, agreed to further suspend its first session. Following the determination in accordance with decision 1/CP.23[1] that an additional negotiating session was needed, Parties were informed that the session would be resumed in Bangkok, in conjunction with the resumed sessions of the Subsidiary Body for Scientific and Technological Advice (SBSTA) and the Subsidiary Body for Implementation (SBI), to address matters relating to the Paris Agreement work programme in accordance with annex I to decision 1/CP.23. It will be opened by the APA Co-Chairs, Ms. Sarah Baashan (Parties not included in Annex I to the Convention) and Ms. Jo Tyndall (Parties included in Annex I to the Convention), on Tuesday, 4 September 2018.

2. Organizational matters

(a) Election of officers

2. APA 1.4 elected Ms. Baashan and Ms. Tyndall as its Co-Chairs and Ms. Anna Serzysko (Parties included in Annex I to the Convention) as its Rapporteur, all for a second consecutive term of one year.

(b) Adoption of the agenda

3. The APA adopted the agenda for its first session at APA 1.1.[2] Following the entry into force of the Paris Agreement on 4 November 2016, APA 1.2 agreed that no further work was required under the agenda sub-item "Preparing for the entry into force of the Paris Agreement".[3] The agenda was amended accordingly after APA 1.2 following the completion of the work under that sub-item. The amended agenda that was applied at subsequent APA sessions will also apply at APA 1.6.[4] Matters relating to the Adaptation Fund will continue to be taken up under agenda sub-item 8(a), "Preparing for the convening of the first session of the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement".[5]

(c) Organization of the work of the session

4. APA 1.5 agreed[6] that at APA 1.6 the following modalities for the organization of the work, adopted at APA 1.1,[7] would be applied:

[1] Decision 1/CP.23, paragraphs 5–9.
[2] FCCC/APA/2016/2, paragraph 9.
[3] FCCC/APA/2016/4, paragraph 9.
[4] See document FCCC/APA/2017/1, paragraph 5.
[5] See document FCCC/APA/2016/4, paragraph 5.
[6] FCCC/APA/2017/4, paragraph 25.

(a) The APA will continue working in a single contact group on agenda items 3–8;

(b) The contact group will meet at least three times, as follows: at an opening meeting to set the direction of the work; at a mid-session meeting to assess progress and adjust guidance, if needed; and at a closing meeting to assess the results of the session and adopt conclusions;

(c) The mid-session meeting of the contact group will assess progress on all items on the APA agenda, including cross-cutting issues, and the direction of technical work will be adjusted as needed;

(d) The contact group will conduct technical work for each of the substantive agenda items, each to be facilitated by two co-facilitators. The APA Co-Chairs will announce the team of facilitators in a communication to Parties well in advance of the resumed session. The APA Co-Chairs will facilitate the informal consultations on agenda item 8, with the exception of matters relating to the Adaptation Fund, which will be facilitated by a pair of co-facilitators, as at previous sessions;

(e) Efforts will be made to ensure that no more than two informal consultations referred to in paragraph 4(d) above take place at the same time; conducting consultations at the same time on two items with substantive linkages between them should be avoided;

(f) The APA Co-Chairs will provide, at the opening contact group meeting, a clear mandate and guidance to the co-facilitators on the direction of the work and the expected outcomes. As the work evolves, the guidance will be reassessed and, if needed, adjusted at the mid-session meeting of the contact group. This mode of work will allow conclusions and other outcomes, as relevant, to be developed for each substantive agenda item;

(g) At the closing contact group meeting, the APA will consider the organization of work for its next session and may change the organizational approach, if necessary.

5. Detailed information on the work of the session will be posted on the APA 1.6 web page.[8] Delegates are invited to refer to the overview schedule and the in-session Daily Programme[9] and to regularly consult the closed-circuit television screens for an up-to-date schedule of the work of the APA. In order to maximize negotiation time and ensure timely closure of the session, the presiding officers of the APA, the SBI and the SBSTA will propose, in consultation with Parties and in a transparent manner, time-saving approaches to the organization and scheduling of meetings during the session, taking into account previous relevant conclusions of the SBI.[10] Further information on such proposals will be outlined in the joint reflections note to be issued by mid-August.

Agenda items 3–8

6. To facilitate deliberations at APA 1.6, the APA Co-Chairs will prepare, by 1 August 2018, tools that are additional to and based on the informal notes prepared at APA 1.5, including proposals for streamlining the outcome of APA 1.5 and examples of how Parties could further progress towards the development of an agreed basis for negotiations, taking account of the level of maturity and delicate balance achieved to date for individual items.[11]

3. Further guidance in relation to the mitigation section of decision 1/CP.21 on:

(a) Features of nationally determined contributions, as specified in paragraph 26

[7] FCCC/APA/2016/2, paragraph 21.

[8] http://unfccc.int/event/apa-1-6.

[9] Available at http://unfccc.int/DP-SB48-2.

[10] FCCC/SBI/2014/8, paragraphs 218–221.

[11] FCCC/APA/2018/2, paragraph 21.

(b) Information to facilitate clarity, transparency and understanding of nationally determined contributions, as specified in paragraph 28

(c) Accounting for Parties' nationally determined contributions, as specified in paragraph 31

7. *Background*: Article 4, paragraph 2, of the Paris Agreement requires each Party to prepare, communicate and maintain successive nationally determined contributions (NDCs) that it intends to achieve, as well as pursue domestic mitigation measures with the aim of achieving the objectives of such contributions.

8. The Conference of the Parties (COP) requested the APA:[12]

(a) To develop further guidance on features of the NDCs;

(b) To develop further guidance for the information to be provided by Parties in order to facilitate clarity, transparency and understanding of NDCs;

(c) To elaborate, drawing from approaches established under the Convention and its related legal instruments as appropriate, guidance for accounting for Parties' NDCs.[13]

9. The COP requested the APA to develop the guidance referred to in paragraph 8 above for consideration and adoption by the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement (CMA) at its first session.[14]

10. Consideration of this agenda item continued at APA 1.5. The progress made is captured in the informal note prepared during the session by the co-facilitators, in the form of a navigation tool, to supplement the informal note prepared at APA 1.4.[15]

11. *Action*: The APA will be invited to continue its consideration of these matters, informed by the tools and informal note referred to in paragraphs 6 and 10 above, respectively, and any submissions from Parties.[16]

4. Further guidance in relation to the adaptation communication, including, inter alia, as a component of nationally determined contributions, referred to in Article 7, paragraphs 10 and 11, of the Paris Agreement

12. *Background*: Article 7, paragraph 10, of the Paris Agreement states that each Party should, as appropriate, submit and update periodically an adaptation communication, which may include its priorities, implementation and support needs, plans and actions, without creating any additional burden for developing country Parties. Article 7, paragraph 11, of the Paris Agreement states that the adaptation communication shall be, as appropriate, submitted and updated periodically, as a component of or in conjunction with other communications or documents, including a national adaptation plan, an NDC[17] and/or a national communication.

13. Consideration of this agenda item continued at APA 1.5. The progress made is captured in the informal note prepared during the session by the co-facilitators.[18]

14. *Action*: The APA will be invited to continue its consideration of this matter, informed by the tools and informal note referred to in paragraphs 6 and 13 above, respectively, and any submissions from Parties.[19]

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[12] Decision 1/CP.21, paragraphs 26, 28 and 31. See also Article 4, paragraph 13, of the Paris Agreement.

[13] See Article 4, paragraph 13, of the Paris Agreement.

[14] Decision 1/CP.21, paragraphs 26, 28 and 31.

[15] See document FCCC/APA/2018/L.2/Add.1.

[16] Uploaded submissions are available on the submission portal at http://www4.unfccc.int/sites/submissionportal/Pages/Home.aspx (under "sessions", select "APA 1-6").

[17] See Article 4, paragraph 2, of the Paris Agreement.

[18] As footnote 15 above.

[19] As footnote 16 above.

5. Modalities, procedures and guidelines for the transparency framework for action and support referred to in Article 13 of the Paris Agreement

15. *Background*: Article 13 of the Paris Agreement established the transparency framework for action and support. The COP established a Capacity-building Initiative for Transparency[20] and provided guidance for taking forward the transparency of action and support under the Paris Agreement.[21] Building on experience from the arrangements related to transparency under the Convention, and elaborating on the provisions of Article 13 of the Paris Agreement, CMA 1 is to adopt common modalities, procedures and guidelines, as appropriate, for the transparency of action and support.[22]

16. The COP requested the APA to develop recommendations for the modalities, procedures and guidelines in accordance with Article 13, paragraph 13, of the Paris Agreement and to define the year of their first and subsequent review and update, as appropriate, at regular intervals, for consideration at COP 24 with a view to the COP forwarding them for consideration and adoption at CMA 1.[23] The COP also requested the APA to report on the progress of work on these modalities, procedures and guidelines at future sessions of the COP, and that this work be concluded no later than 2018.[24]

17. Consideration of this agenda item continued at APA 1.5. The progress made is captured in the informal note prepared during the session by the co-facilitators.[25]

18. *Action*: The APA will be invited to continue its consideration of this matter, informed by the tools and informal note referred to in paragraphs 6 and 17 above, respectively, and any submissions from Parties.[26]

6. Matters relating to the global stocktake referred to in Article 14 of the Paris Agreement

(a) Identification of the sources of input for the global stocktake

(b) Development of the modalities of the global stocktake

19. *Background*: Article 14 of the Paris Agreement requires the CMA to periodically take stock of the implementation of the Paris Agreement in order to assess the collective progress towards achieving its purpose and its long-term goals (the global stocktake), in a comprehensive and facilitative manner, considering mitigation, adaptation and the means of implementation and support, and in the light of equity and the best available science. The CMA is to undertake the first global stocktake in 2023 and every five years thereafter, unless otherwise decided by the CMA.[27]

20. The COP requested the APA to identify the sources of input for the global stocktake, to develop its modalities and to report to the COP with a view to the COP making a recommendation for consideration and adoption at CMA 1.[28]

21. Consideration of this agenda item continued at APA 1.5. The progress made is captured in the informal note prepared during the session by the co-facilitators.[29]

22. *Action*: The APA will be invited to continue its consideration of these matters, informed by the tools and informal note referred to in paragraphs 6 and 21 above, respectively, and any submissions from Parties.[30]

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[20] Decision 1/CP.21, paragraphs 84–88.
[21] Decision 1/CP.21, paragraphs 89–98.
[22] Article 13, paragraph 13, of the Paris Agreement.
[23] Decision 1/CP.21, paragraph 91.
[24] Decision 1/CP.21, paragraph 96.
[25] As footnote 15 above.
[26] As footnote 16 above.
[27] Article 14, paragraphs 1 and 2, of the Paris Agreement.
[28] Decision 1/CP.21, paragraphs 99 and 101.
[29] As footnote 15 above.
[30] As footnote 16 above.

7. Modalities and procedures for the effective operation of the committee to facilitate implementation and promote compliance referred to in Article 15, paragraph 2, of the Paris Agreement

23. *Background*: Article 15, paragraph 1, of the Paris Agreement establishes a mechanism to facilitate implementation of and promote compliance with the provisions of the Paris Agreement. Paragraph 2 of the same Article provides that the mechanism will consist of a committee that, in accordance with paragraph 3 of the same Article, will operate under the modalities and procedures adopted at CMA 1.

24. The COP requested the APA to develop the modalities and procedures for the effective operation of the committee referred to in paragraph 23 above with a view to the APA completing its work on such modalities and procedures for consideration and adoption at CMA 1.[31]

25. Work under this agenda item continued at APA 1.5. The progress made is captured in the informal note prepared during the session by the co-facilitators.[32]

26. *Action*: The APA will be invited to continue its consideration of this matter, informed by the tools and informal note referred to in paragraphs 6 and 25 above, respectively, and any submissions from Parties.[33]

8. Further matters related to implementation of the Paris Agreement

(a) Preparing for the convening of the first session of the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement

(b) Taking stock of progress made by the subsidiary and constituted bodies in relation to their mandated work under the Paris Agreement and section III of decision 1/CP.21, in order to promote and facilitate coordination and coherence in the implementation of the work programme, and, if appropriate, take action, which may include recommendations

27. *Background*: The COP decided that the APA shall prepare for the convening of CMA 1. The COP also decided to oversee the implementation of the work programme resulting from the relevant requests contained in decision 1/CP.21, to be carried out by the subsidiary and constituted bodies under the Convention.[34]

28. APA 1.2 agreed to consider these matters under agenda sub-item 8(a), "Preparing for the convening of the first session of the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement".[35]

Matters relating to the Adaptation Fund

29. In response to the invitation of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol at its eleventh session,[36] COP 22 referred matters relating to the Adaptation Fund to the APA.[37] In addition, the COP requested the APA in its consideration of the necessary preparatory work on the Adaptation Fund to address the governance and institutional arrangements, safeguards and operating modalities for the Adaptation Fund to serve the Paris Agreement.[38]

30. APA 1.5 continued consideration of matters relating to the Adaptation Fund. The discussions were captured in an informal note prepared during the session by the co-facilitators.[39]

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[31] Decision 1/CP.21, paragraph 103.
[32] As footnote 15 above.
[33] As footnote 16 above.
[34] Decision 1/CP.21, paragraphs 8 and 9.
[35] FCCC/APA/2016/4, paragraph 5.
[36] Decision 1/CMP.11, paragraph 9.
[37] FCCC/CP/2016/10, paragraph 18.
[38] Decision 1/CP.22, paragraph 14.
[39] As footnote 15 above.

31. *Action*: The APA will be invited to continue its consideration of these matters, informed by the tools and informal note referred to in paragraphs 6 and 30 above, respectively, and any submissions from Parties.[40]

Possible additional matters

32. APA 1.5 continued consideration of the possible additional matters related to the implementation of the Paris Agreement, contained in the informal note prepared during the session by the Co-Chairs.[41]

33. *Action*: The APA will be invited to continue its consideration of these matters,[42] informed by the tools and informal note referred to in paragraphs 6 and 32 above, respectively, and any submissions from Parties.[43]

9. Other matters

34. Any other matters arising during the session will be taken up under this agenda item.

10. Closure of and report on the session

35. After the draft report on the session has been presented to the APA for consideration and adoption, the Co-Chairs will propose to suspend the session, unless Parties express the wish that the session be closed.

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[40] As footnote 16 above.

[41] As footnote 15 above.

[42] The possible additional matters are: (1) modalities for biennially communicating finance information on the provision of public financial resources to developing countries in accordance with Article 9, paragraph 5, of the Paris Agreement; (2) initial guidance by the CMA to the operating entities of the Financial Mechanism (the Green Climate Fund and the Global Environment Facility) under Article 9, paragraph 8, of the Paris Agreement and decision 1/CP.21, paragraphs 58 and 61–63; (3) initial guidance by the CMA to the Least Developed Countries Fund and the Special Climate Change Fund in accordance with decision 1/CP.21, paragraph 58; (4) guidance by the CMA on the adjustment of existing NDCs under Article 4, paragraph 11, of the Paris Agreement; and (5) setting a new collective quantified goal on finance in accordance with decision 1/CP.21, paragraph 53.

[43] As footnote 16 above.